

01/04/01  
JC966 U.S. PTO

01-08-01

A

PATENT  
Attorney Docket No: 2078-3-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Jin-Koo Rhee  
Hyun-Sik Park  
Dan An  
Yeon-Sik Chae  
Serial No:  
Filed: Herewith  
For: TRANSISTOR WITH  $\pi$ -GATE STRUCTURE AND  
METHOD FOR PRODUCING THE SAME

Art Unit:  
Examiner:

JC966 U.S. PTO  
09/755436  
01/04/01

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Date of Deposit: January 4, 2001

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ one copy of a letter of transmittal
- ☒ check in amount of \$ 355 as filing fee
- ☒ patent application ( 6 page(s) of specification; 2 claim(s); 2 page(s) of abstract
- ☒ 2 sheet(s) of formal drawings
- ☒ executed Declaration and Power of Attorney
- ☐ certified copy of patent Application No. 2000-70982 filed 11-27-2000 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119 ☒ will follow
- ☐ IDS transmittal
- ☐ PTO-1449 form
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231.

Date: January 4, 2001

Lee & Hong

221 N. Figueroa Street, 11<sup>th</sup> Floor  
Los Angeles, California 90012-2601  
Telephone: 213-250-7780  
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Jonathan Y. Kang

Name of person mailing papers

[Signature]  
Signature

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jin-Koo Rhee  
Hyun-Sik Park  
Dan An  
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Serial No:

Filed: Herewith

For: TRANSISTOR WITH  $\pi$ -GATE STRUCTURE AND METHOD  
FOR PRODUCING THE SAMEJC960 U.S. PTO  
09/755436  
01/04/01Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application identified above.

- ☒ 2 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.
- ☒ 6 page(s) of specification and 2 page(s) of abstract of the invention are enclosed.
- ☐ An assignment of the invention to \_\_\_\_\_ ☐ is enclosed ☐ will follow.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☒ Inventor(s) or Assignee(s) is entitled to small entity status under 37 C.F.R. §§ 1.9 & 1.27.
- ☒ Declaration and Power of Attorney ☒ is enclosed ☐ will follow.
- ☒ A certified copy of Korean Patent Application No. 2000-70982 filed 11-27-2000 from which priority is claimed under 35 U.S.C. § 119 ☐ is enclosed ☒ will follow.
- ☐ IDS enclosed (☐ with references).
- ☐ Preliminary Amendment is enclosed.


CALCULATION OF FEES								
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	2	-20	0	LG=\$18 SM=\$9	\$ 0	\$ 0	
B	INDEPENDENT CLAIMS FEE*	2	-3	0	LG=\$80 SM=\$40	\$ 0	\$ 0	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 0
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135		\$
E	BASIC FEE					LARGE ENTITY FEE = \$710 SMALL ENTITY FEE = \$355		\$ 355
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 0
G	ASSIGNMENT RECORDING FEE						\$ 40	\$ 0
	*LIST INDEPENDENT CLAIMS [LIST OF INDEPENDENT CLAIMS]							

- ☒ A check in the amount of \$ 355 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover Assignment Recordation fee is enclosed.

- ☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. \_\_\_\_\_. **A copy of this sheet is enclosed.**
- ☐ Any additional filing fees required under 37 C.F.R. § 1.16
- ☐ Any patent application processing fees under 37 C.F.R. § 1.17

Respectfully submitted,  
LEE & HONG

Date: January 4, 2001

By:   
Jonathan Y. Kang  
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